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**Safeguarding Adult Review (SAR)**

**Referral Form and Decision Record**

North Yorkshire Safeguarding Adults Board (NYSAB) considers every Safeguarding Adult Review (SAR) referral based on whether it meets the criteria for a SAR as stipulated in section 44 of the Care Act 2014 which states:

1. A Safeguarding Adult Board (SAB) must arrange for there to be a review of a case involving an adult in its area with needs for care and support (whether or not the local authority has been meeting any of those needs) if:
2. There is reasonable cause for concern about how the SAB, members of it or other persons with relevant functions worked together to safeguarding the adult

**And**

1. Either of the following conditions are met –
2. Condition 1 is met if –
3. The adult has died, **and**
4. The SAB knows or suspects that the death resulted from abuse or neglect (whether or not it knows about or suspected the abuse or neglect before the adult died)
5. Condition 2 is met if –
6. The adult is still alive, **and**
7. The SAB knows or suspects that the adult has experienced serious abuse or neglect.
8. A SAB may also arrange for there to be a review of any other case involving an adult in its area with needs for care and support (whether or not the local authority has been meeting any of those needs).

**How can I refer a case for review?**

* Any professional can make a referral. If you know of a case that may meet the SAR criteria, then in the first place you should discuss a possible referral with the safeguarding lead for your organisation.
* Referrals should be discussed and authorised by your agency’s Safeguarding Lead where applicable prior to submission.
* Referrals should be submitted without delay to [nysab@northyorks.gov.uk](mailto:nysab@northyorks.gov.uk) or via post to NYSAB, North Yorkshire Council, County Hall, Racecourse Lane, Northallerton, England, DL7 8AD.
* A member of the public who wishes to make a SAR referral can also do so as above.
* The Board needs as much information as possible to enable members to make a proportionate decision as to how to respond to a SAR referral, ensuring, if the case is accepted for a review, that maximum learning can be achieved. Please therefore complete as much information on this form as possible.
* Referrals will be considered, and the referrer informed of the outcome.

**Please complete all sections and include as much information as possible to ensure that the decision-making process is robust and proportionate.**

**This document contains sensitive personal data so please ensure your email is secure or encrypted.**

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| **1. Details of person making referral** | |
| Date of Referral |  |
| Name |  |
| Position |  |
| Agency |  |
| Address |  |
| Phone Number |  |
| E-mail |  |

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| **2. Details of the person being referred** | |
| Name |  |
| Date of birth |  |
| Date of death  (if applicable)  Inquest date (if known) |  |
| NHS Number |  |
| Ethnicity |  |
| Address |  |
| GP (if known) |  |
| Details of care and support needs of the adult |  |
| Any other relevant protected characteristics |  |

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| **3. Details of the representative/family of the adult with care and support needs** | |
| Does the adult have any family or representative as far as you are aware? | Yes No (if no move to question 4) |
| Are they aware of the SAR referral? | Yes No |
| Family member/representative contact name |  |
| Relationship to the adult |  |
| Phone number |  |
| Address |  |
| Is there any reason the family should not be contacted if a decision is made that the case meets the criteria for a SAR? | Yes No (if Yes please give details) |
| **4. Notification of other reviews being undertaken** | |
| Domestic Homicide Review (DHR)  Multi-Agency Public Protection Arrangements (MAPPA) review  Root Cause Analysis (RCA)  Child Safeguarding Practice Review  Learning Disabilities Mortality LeDeR Review  Other  Date review commenced:  Date review completed:  Please provide details including recommendations where known: | |

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| **5. Please provide a brief summary of the case and the circumstances that led to the referral including any practice issues identified.** |
| *Please include details of: the adult, the care and support needs, living situation, location of the abuse/incident, type of abuse/safeguarding issue, and the source of risk. If the person is alive, please describe the impact of the alleged abuse.* |

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| **6. Please outline the factors that suggest the SAR** [**criteria**](http://www.worcestershire.gov.uk/info/20222/safeguarding_adults/159/safeguarding_adults_reviews) **are met:**  Please refer to the front page of this referral form and include in detail how you feel the circumstances meet the criteria for a Safeguarding Adults Review **responding fully to each separate criteria.**  For the circumstances to meet the criteria there must be concerns about how separate agencies **worked together.** | | | | |
| 1. **The adult has care and support needs – Specify below:** | | | | |
|  | | | | |
| 1. **There is reasonable cause for concern about how the SAB, members of it or other persons with relevant functions worked together to safeguard the adult. Specify below:** | | | | |
| *Supporting Information to include which agencies and in what way they did not work together which led to the abuse or neglect.* | | | | |
| 1. **The adult has died (suspected to be resulting from abuse or neglect). Specify below:** | | | | |
| *Supporting information to include what the abuse and neglect consisted of:* | | | | |
| **Type/s of abuse/neglect identified:** | | | | |
| Discriminatory | Domestic Abuse | Financial | Modern Slavery | Neglect |
|  |  |  |  |  |
| Organisational | Physical | Self-Neglect | Psychological | Sexual |
|  |  |  |  |  |
| 1. **The adult is still alive and suspected to have experienced abuse or neglect:** | | | | |
| *Supporting information to include what the abuse and neglect consisted of:* | | | | |
| **Type/s of abuse/neglect identified:** | | | | |
| Discriminatory | Domestic Abuse | Financial | Modern Slavery | Neglect |
|  |  |  |  |  |
| Organisational | Physical | Self-Neglect | Psychological | Sexual |
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| **7. Please list the agencies/service providers known to be involved in this case. Please include the GP.** | | |
| Name of Agency | Contact Name | Contact Email |
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| **8. Please provide any additional information you feel is relevant.** |
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**The Safeguarding Lead for your agency should sign below to confirm that they are aware of and in agreement that this referral is to be made to the SAB.**

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| **9. Please account for any delay in the referral being submitted** |
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Signed:……………………….. Print name:…………………………….. Date Authorised: …………

Electronic signatures are acceptable

Signed by Senior Manager/Designated Safeguarding Lead/SAB Member

**Section 2**

**TO BE COMPLETED ON BEHALF OF THE SAFEGUARDING ADULTS BOARD**

**2a Record of where a Request does not meet a SAR criteria and is being closed without scoping**

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| **Date** | **Decision made by** | **Decision/comments** |
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**2b Record of Discussion/s at the Scoping Meeting**

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| --- | --- | --- |
| **Date** |  | |
| **Attended by:** | | |
| **Name** | | **Title & Organisation** |
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| **Discussion**  (Record rationale for how the case meets each criterion, including consideration of the factors highlighted for consideration within the Quality Markers) | **Decision** |
| 1. **The adult has care and support needs:** |  |
| 1. **There is reasonable cause for concern about how the SAB, members of it or other persons with relevant functions worked together to safeguard the adult.** |  |
| 1. **The adult has died (suspected to be resulting from abuse or neglect).** |  |

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| **Agencies who have not responded to the request for information and action taken:** |
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| **After reviewing the information from all involved agencies, it is recommended that this case:** | | |
| i | Meets the criteria for a SAR under S44 (1) of the Care Act 2014 |  |
| ii | Meets the criteria for a SAR under S44 (4) of the Care Act 2014 |  |
| iii | Does not meet the criteria for a SAR under S44 The Care Act 2014 |  |

**Recommendation to SAB Chair**

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| **It is recommended/not recommended that this referral has met the criteria for a SAR for the following reasons** (include rationale for recommendation and consideration of MSP, information on key areas of enquiry, methodology and timeframe): |
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| **If the case does not meet the criteria for a SAR and another review process has been agreed, please give details below (please refer to the guidelines):** |
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| **Please account for any delay in decision making:** |
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**Signed: ..................................................................**

**SAR Subgroup Chair**

**Date: ...................................................................**

**SAB Chair Decision**

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**Signed: ..................................................................**

**Date: ...................................................................**